

PTO/SB/05 (11-00)
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Attorney Docket No.		9-13528-115US	
First Inventor		Vladimir V. MIKHAILOV	
Title	METHOD AND APPARATUS ENABLING LOCAL...		
Express Mail Label No.			

(Only for new nonprovisional applications under 37 CFR 1.53(b))

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages (preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets

5. Oath or Declaration [Total Pages

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

9. ☐ Assignment Papers (cover sheet & document(s))
 10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
 11. ☐ English Translation Document *(if applicable)*
 12. ☒ Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
 13. ☐ Preliminary Amendment
 14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
 15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
 16. ☐ Request and Certification under 35 U.S.C. 122
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35
 or its equivalent.
 17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information:

Examiner

of prior application No.:

Group Art Unit.

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ *Customer Number or Bar Code Label*

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Correspondence address below

Name _____

Swabey Ogilvy Renault

Address

City

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Zip Code

Country

Telephone

613-230-6072

Fax

613-230-6706

Name (Print/Type)

Max R. Wood

Registration No. (Attorney/Agent)

40.388

Signature

Date _____

12 22 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 870

Complete if Known

Application Number
Filing Date
First Named Inventor Vladimir V. MIKHAILOV
Examiner Name
Group Art Unit
Attorney Docket No. 9-13528-115US

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-5113
Deposit Account Name Swabey Ogilvy Renault

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
17	-20** = 0	X 0 = 0	
Independent Claims	5	-3** = 2	X 80 = 160
Multiple Dependent			= 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 160

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Print/Type)	Max R. Wood	Registration No. (Attorney/Agent)	40,388	Telephone	613-230-6072
Signature		Date	12 22 2000		

Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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12/26/00
JC685 U.S. PTO

SWABEY
OGILVY RENAULT
S.E.N.C.

PATENT AND TRADE MARK AGENTS

Ottawa,
December 22, 2000

JC853 U.S. PTO
09/745423
12/26/00

Assistant Commissioner for Patents
Washington, D.C. 20231
U. S. A.

Sir:

Re: New United States Patent Application
Title: METHOD AND APPARATUS ENABLING
LOCAL NUMBER PORTABILITY IN
TELEPHONE NETWORKS
Inventors: Vladimir V. MIKHAILOV et al.
Assignee: Nortel Networks Limited
Our File: 9-13528-115US MRW:lf

ROBERT MITCHELL
GUY J. HOULE
PAUL MARCOUX
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ROBERT CARRIER
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ELIZABETH E. GOUTHRO
CHRISTIAN CAWTHORN
JENNIFER QUINN

Transmitted herewith for filing is the patent application of:

INVENTORS: Vladimir V. MIKHAILOV;
Michael M. GAWARGY; and
Cristian CONSTANTINOF

TITLE OF THE INVENTION:
METHOD AND APPARATUS ENABLING LOCAL
NUMBER PORTABILITY IN TELEPHONE
NETWORKS

The following documents are enclosed:

- a) Utility Patent Application Transmittal PTO/SB/05
- b) Specification/Claims/Abstract
- c) Formal Drawings (5 Sheets - FIGs. 1-5)
- d) Information Disclosure Statement with PTO Form 1449
- e) Our cheque No. 008672;
Patent Application Fee Determination Record PTO/SB/06; and
Fee Transmittal Form PTO/SB/17, covering:

Filing Fee:	\$710.00
Total Number of Claims: 17	
Independent claims in excess of 3 (2 @ \$80):	\$160.00
TOTAL:	\$870.00

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MELVIN SHER [1935-1994]

A FIRM IN WHICH SWABEY, MITCHELL,
HOULE, MARCOUX & SHER AND
THE LAW FIRM OGILVY RENAULT
ARE PARTNERS

00345433-433640

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to our Account No. 19-5113.

Respectfully submitted,

day hand

MRW:lf
Encls.